

Field	Value
Testing Organization Name	AppEsteem
Test Plan Name	AppEsteem UwS Handling Certification Test Plan for 2019 [AMTSO-LS1-TP006]
Compliance Assessor's First Name	Scott
Compliance Assessor's Last Name	Jeffreys
Compliance Assessment Date	July 18, 2019
Report Summary and Commentary	<ol style="list-style-type: none"> 1. All new participants were invited to offer Phase 1 Commentary along with their attestations. Any exceptions were waived by the tester. A total of 23 test subject vendors were included in this report review. 2. Seven (7) Phase 2 Commentary reports have been reviewed in detail. All items have been reported as compliant and no objections have been filed. 3. The dispute period for both the May/June issued Test Reports have been conducted in line with the already established Test Plan. 4. Based on disclosure from the Tester, one test subject vendor highly objected to being included in this edition of the test report. That vendor did not file any paperwork with AMTSO detailing their objections. The Tester followed all processes established in the Standard regarding the testing and the inclusion of results per their opt-out policy. 5. All links to and from the AMTSO Web Site for this ongoing test series have been validated as functioning. 6. This test is Confirmed Compliant with AMTSO Standard V1.1.
Notifications	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criteria 1.1 : Contact information is current and valid in the AMTSO managed contact list. • <input checked="" type="checkbox"/> Criteria 1.2 : Participants have been notified regarding this Test through Public Notification or Direct Contact. • <input checked="" type="checkbox"/> Criteria 1.3 : The Test Plan is included with the notification sent to Participants. • <input checked="" type="checkbox"/> Criteria 1.4 : The Test Plan is available to all Participants upon request. • <input checked="" type="checkbox"/> Criteria 1.5 : The Test Plan is available on the AMTSO web site for a Public Test.

Field	Value
-------	-------

Test Plan Content

- Criteria 1.6 : The Test Plan is distributed within the time line requirements prior to the Test Commencement Date.
- Criteria 2.1 : The Test Plan includes a Statement of Intention to follow the AMTSO Testing Protocol Standards.
- Criteria 2.2 : The Test Plan includes a Statement of Purpose indicating the criteria for the product types and threats to be used in the Test.
- Criteria 2.3 : The Test Plan includes the Test Commencement date(s).
- Criteria 2.4 : The Test Plan includes a Test Schedule with key Participant dates including periods for product configuration consultation.
- Criteria 2.5 : A Test Methodology describing the process used to execute the Test and Test Plan is defined.
- Criteria 2.6 : The Test Plan details the Test Environment and what said Environment aims to represent.
- Criteria 2.7 : The Test Plan includes a policy on reporting product version information.
- Criteria 2.8 : The Test Plan details of how the test will be scored and how (if any) certifications given will be measured.
- Criteria 2.9 : The Test Plan provides any dispute process details.
- Criteria 2.10 : The Test Plan details the Sample acquisition and selection process for use in this Test.
- Criteria 2.11 : The Test Plan details how Samples will be validated and classified.
- Criteria 2.12 : If potential Participants are given the option not to participate in the Test then the opt-out option is honored if requested.
- Criteria 2.13 : The Test Plan provides high-level details on the sample provenance and Sample Curation strategy.
- Criteria 2.14 : The Test Plan provides a description as to how Curated Sample feedback is solicited and processed.
- Criteria 2.15 : The Test Plan defines whether Vendor participation in Sample Curation is restricted to Voluntary Participants.
- Criteria 2.16 : The Test Plan defines whether a Vendor can opt-out of a Public Test and if permitted explains how that process works.
- Criteria 2.17 : The Test Plan provides instructions for potential

Field	Value
Voluntary Participation	<p data-bbox="618 300 1268 327">Participants to provide advanced configuration details.</p> <ul data-bbox="573 394 1495 961" style="list-style-type: none"> <li data-bbox="573 394 1495 464">• <input checked="" type="checkbox"/> Criteria 3.1 : All potential Participants are given the option to become official Voluntary Participants. <li data-bbox="573 485 1495 554">• <input checked="" type="checkbox"/> Criteria 3.2 : All Voluntary Participants are notified which products and services were being included in the Test. <li data-bbox="573 575 1495 644">• <input checked="" type="checkbox"/> Criteria 3.3 : All Voluntary Participants are given the opportunity to review the configuration of their products in the Test Environment. <li data-bbox="573 665 1495 735">• <input checked="" type="checkbox"/> Criteria 3.4 : All Voluntary Participants are given the opportunity to provide commentary on the Test. <li data-bbox="573 756 1495 825">• <input checked="" type="checkbox"/> Criteria 3.5 : All Voluntary Participants complete a Voluntary Participation Attestation. <li data-bbox="573 846 1495 961">• <input checked="" type="checkbox"/> Criteria 3.6 : All Voluntary Participants are asked to disclose any unlicensed third party intellectual material prior to the Product being tested.
Testing Process	<ul data-bbox="573 1024 1495 1413" style="list-style-type: none"> <li data-bbox="573 1024 1495 1140">• <input checked="" type="checkbox"/> Criteria 4.1 : All product logs, tested configurations, and environmental details generated during the Test are retained until all disputes are resolved. <li data-bbox="573 1161 1495 1230">• <input checked="" type="checkbox"/> Criteria 4.2 : Participants are contacted prior to the Test's completion when their product(s) are suspected of malfunctioning. <li data-bbox="573 1251 1495 1320">• <input checked="" type="checkbox"/> Criteria 4.3 : Participants are notified of the Test's completion with performance feedback and Test records made available for review. <li data-bbox="573 1341 1495 1413">• <input checked="" type="checkbox"/> Criteria 4.4 : Participants are given the opportunity to review their product configurations at the Test's completion.
Test Report and Feedback	<ul data-bbox="573 1453 1495 1841" style="list-style-type: none"> <li data-bbox="573 1453 1495 1549">• <input checked="" type="checkbox"/> Criteria 5.1 : Test results are presented in a clear, understandable format. <li data-bbox="573 1570 1495 1640">• <input checked="" type="checkbox"/> Criteria 5.2 : The Test Report includes the tested product names and version information. <li data-bbox="573 1661 1495 1730">• <input checked="" type="checkbox"/> Criteria 5.3 : The Test Report discloses any additional products or services related to this Test which were made available to Participants. <li data-bbox="573 1751 1495 1787">• <input checked="" type="checkbox"/> Criteria 5.4 : The Test Report includes a reference to the Test Plan. <li data-bbox="573 1808 1495 1841">• <input checked="" type="checkbox"/> Criteria 5.5 : The Test Report includes details of what Tests were run

Field	Value
	<p>including the dates and times.</p> <ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criteria 5.6 : The Test Report provides details of how the results can be used. • <input checked="" type="checkbox"/> Criteria 5.7 : The Test Report includes specific scores or certifications awarded. • <input checked="" type="checkbox"/> Criteria 5.8 : The Test Report includes a link to the AMTSO web site where commentary or additional information may be found. • <input checked="" type="checkbox"/> Criteria 5.9 : If any disputes extend beyond the Test Report's publication then subsequent updates are made in a clear and timely manner. • <input checked="" type="checkbox"/> Criteria 5.10 : Voluntary Participants are afforded the opportunity to audit their solution configuration and attach commentary to the Test covering the Test itself and the specific solution's results. • <input checked="" type="checkbox"/> Criteria 5.11 : Participants outside of Voluntary Participants are given the chance to attach commentary to the Test explaining why said Vendor was not participating as a Voluntary Participant.
Attestations	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criteria 6.1 : The Tester may charge for Participation in a Test, but may not charge additional fees for Participants to be Voluntary, and confirms that this was the case for this Compliance Check. • <input checked="" type="checkbox"/> Criteria 6.2 : Any material conflicts of interest that could impact the Test's reliability have been disclosed to AMTSO and as part of the Test Report. • <input checked="" type="checkbox"/> Criteria 6.3 : All products included in your AMTSO Accredited Test are fairly and equally analyzed. • <input checked="" type="checkbox"/> Criteria 6.4 : Any anticipated inequity in your test design is disclosed to all Participants. • <input checked="" type="checkbox"/> Criteria 6.5 : Details disclosing how the test was funded are available.