

Field	Value
Testing Organization Name	SE Labs
Test Plan Name	SE Labs Test Plan for Q4 2019 Endpoint Protection: Enterprise, Small Business, and Consumer [AMTSO Test ID: AMTSO-LS-TP016]
Compliance Assessor's First Name	Scott
Compliance Assessor's Last Name	Jeffreys
Compliance Assessment Date	January 3, 2020
Report Summary and Commentary	<ol style="list-style-type: none"> 1. Extremely limited responses were received during the call for Phase 1 Commentary during this testing cycle. This could be traced to overlap with other conferences. Nothing was raised during this commentary period objecting to the plans. 2. During the Phase 2 Commentary Period, one question was raised during the Dispute Phase to which SE Labs responded. SE Labs provide a free dispute process and provides relevant data on request from any vendor in the test. Vendors are notified about the start of the dispute process by AMTSO as part of the Standard certification process and need to take the initiative to request the dispute data. In this case, as soon as SE Labs became aware that Avast wanted the data, after the dispute deadline had passed, the data was provided. 3. Phase 2 Commentary Collection closed on December 17th, 2019 with ten completed responses from seven different vendors. 4. This test is Confirmed Compliant with AMTSO Standard V1.2, the version that was live at the point of the launch of this test. All future tests submitted by SE Labs for a compliance check will be tested under Standard V1.3 or later.
Notifications	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criteria 1.1 : Contact information is current and valid in the AMTSO managed contact list. • <input checked="" type="checkbox"/> Criteria 1.2 : Participants have been notified regarding this Test through Public Notification or Direct Contact. • <input checked="" type="checkbox"/> Criteria 1.3 : The Test Plan is included with the notification sent to Participants.

Field	Value
	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criteria 1.4 : The Test Plan is available to all Participants upon request. • <input checked="" type="checkbox"/> Criteria 1.5 : The Test Plan is available on the AMTSO web site for a Public Test. • <input checked="" type="checkbox"/> Criteria 1.6 : The Test Plan is distributed within the time line requirements prior to the Test Commencement Date.
Test Plan Content	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criteria 2.1 : The Test Plan includes a Statement of Intention to follow the AMTSO Testing Protocol Standards. • <input checked="" type="checkbox"/> Criteria 2.2 : The Test Plan includes a Statement of Purpose indicating the criteria for the product types and threats to be used in the Test. • <input checked="" type="checkbox"/> Criteria 2.3 : The Test Plan includes the Test Commencement date(s). • <input checked="" type="checkbox"/> Criteria 2.4 : The Test Plan includes a Test Schedule with key Participant dates including periods for product configuration consultation. • <input checked="" type="checkbox"/> Criteria 2.5 : A Test Methodology describing the process used to execute the Test and Test Plan is defined. • <input checked="" type="checkbox"/> Criteria 2.6 : The Test Plan details the Test Environment and what said Environment aims to represent. • <input checked="" type="checkbox"/> Criteria 2.7 : The Test Plan includes a policy on reporting product version information. • <input checked="" type="checkbox"/> Criteria 2.8 : The Test Plan details of how the test will be scored and how (if any) certifications given will be measured. • <input checked="" type="checkbox"/> Criteria 2.9 : The Test Plan provides any dispute process details. • <input checked="" type="checkbox"/> Criteria 2.10 : The Test Plan details the Sample acquisition and selection process for use in this Test. • <input checked="" type="checkbox"/> Criteria 2.11 : The Test Plan details how Samples will be validated and classified. • <input checked="" type="checkbox"/> Criteria 2.12 : If potential Participants are given the option not to participate in the Test then the opt-out option is honored if requested. • <input checked="" type="checkbox"/> Criteria 2.13 : The Test Plan provides high-level details on the

Field	Value
-------	-------

sample provenance and Sample Curation strategy.

- Criteria 2.14 : The Test Plan provides a description as to how Curated Sample feedback is solicited and processed.
- Criteria 2.15 : The Test Plan defines whether Vendor participation in Sample Curation is restricted to Voluntary Participants.
- Criteria 2.16 : The Test Plan defines whether a Vendor can opt-out of a Public Test and if permitted explains how that process works.
- Criteria 2.17 : The Test Plan provides instructions for potential Participants to provide advanced configuration details.

Voluntary Participation

- Criteria 3.1 : All potential Participants are given the option to become official Voluntary Participants.
- Criteria 3.2 : All Voluntary Participants are notified which products and services were being included in the Test.
- Criteria 3.3 : All Voluntary Participants are given the opportunity to review the configuration of their products in the Test Environment.
- Criteria 3.4 : All Voluntary Participants are given the opportunity to provide commentary on the Test.
- Criteria 3.5 : All Voluntary Participants complete a Voluntary Participation Attestation.
- Criteria 3.6 : All Voluntary Participants are asked to disclose any unlicensed third party intellectual material prior to the Product being tested.

Testing Process

- Criteria 4.1 : All product logs, tested configurations, and environmental details generated during the Test are retained until all disputes are resolved.
- Criteria 4.2 : Participants are contacted prior to the Test's completion when their product(s) are suspected of malfunctioning.
- Criteria 4.3 : Participants are notified of the Test's completion with performance feedback and Test records made available for review.
- Criteria 4.4 : Participants are given the opportunity to review

Field	Value
-------	-------

their product configurations at the Test's completion.

Test Report and Feedback

- Criteria 5.1 : Test results are presented in a clear, understandable format.
- Criteria 5.2 : The Test Report includes the tested product names and version information.
- Criteria 5.3 : The Test Report discloses any additional products or services related to this Test which were made available to Participants.
- Criteria 5.4 : The Test Report includes a reference to the Test Plan.
- Criteria 5.5 : The Test Report includes details of what Tests were run including the dates and times.
- Criteria 5.6 : The Test Report provides details of how the results can be used.
- Criteria 5.7 : The Test Report includes specific scores or certifications awarded.
- Criteria 5.8 : The Test Report includes a link to the AMTSO web site where commentary or additional information may be found.
- Criteria 5.9 : If any disputes extend beyond the Test Report's publication then subsequent updates are made in a clear and timely manner.
- Criteria 5.10 : Voluntary Participants are afforded the opportunity to audit their solution configuration and attach commentary to the Test covering the Test itself and the specific solution's results.
- Criteria 5.11 : Participants outside of Voluntary Participants are given the chance to attach commentary to the Test explaining why said Vendor was not participating as a Voluntary Participant.

Attestations

- Criteria 6.1 : The Tester may charge for Participation in a Test, but may not charge additional fees for Participants to be Voluntary, and confirms that this was the case for this Compliance Check.
- Criteria 6.2 : Any material conflicts of interest that could impact the Test's reliability have been disclosed to AMTSO and as part of the Test Report.

Field	Value
-------	-------

- Criteria 6.3 : All products included in your AMTSO Accredited Test are fairly and equally analyzed.
- Criteria 6.4 : Any anticipated inequity in your test design is disclosed to all Participants.
- Criteria 6.5 : Details disclosing how the test was funded are available.