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Testing Organization Name	AppEsteem
Test Plan Name	AppEsteem UwS Handling Certification Test Plan for 2022 [AMTSO-LS1-TP046]
Compliance Assessor's First Name	Scott
Compliance Assessor's Last Name	Jeffreys
Compliance Assessment Date	January 25, 2023
Report Summary and Commentary	<ol style="list-style-type: none"> 1. Five (5) test subject vendors presenting six (6) products self-identified as Participants at the outset of 2022 test series. The products included Avast, AVG, Avira, K7, Kaspersky, and TGSoft. 2. Twenty-five (25) products were covered in this 2022 Test Series matching the 2021 product set. Monthly reports were published by AppEsteem on their Deceptors Web Site meeting all reporting metrics and notification requirements to test subject vendors. Reports through December 2022 have been published as of this review. 3. No major objections to the testing scope, methodology, or scoring were raised to AMTSO's during the Phase 1 (December 2020) or Phase 2 (April 2022, July 2022, October 2022, January 2023) Commentary Collection phases. Individual items were reviewed and documented. 4. During April 2022 Phase 2 Commentary Collection, an item was raised by Kaspersky. Here, Kaspersky cited that detections that failed in March 2022 due to time constraints were quickly fixed for the April 2022 cycle. Kaspersky wanted to be sure that a single approach was being applied to all test subject vendors in such cases. AppEsteem asserted that all were treated equally under the testing cycles and dispute phases. 5. During January 2023 Phase 2 Commentary Collection, an e-mail was received from one test subject vendor (QuickHeal) requesting that they be removed from the monthly testing process although no reason for exclusion was offered. AppEsteem was informed and in turn stated that no valid reason under the test's opt-out clause was offered for removal from the test. Hence, testing was being done in compliance with the AMTSO Standard as testers retain the right to test a particular product suited for the test methodology. AMTSO agreed. 6. This is the Final Compliance Report covering the 2022 AppEsteem UwS Test Series. The test is Compliance Confirmed against Standard V1.3.

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Notifications	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criteria 1.1 : Contact information is current and valid in the AMTISO managed contact list. • <input checked="" type="checkbox"/> Criteria 1.2 : Participants have been notified regarding this Test through Public Notification or Direct Contact. • <input checked="" type="checkbox"/> Criteria 1.3 : The Test Plan is included with the notification sent to Participants. • <input checked="" type="checkbox"/> Criteria 1.4 : The Test Plan is available to all Participants upon request. • <input checked="" type="checkbox"/> Criteria 1.5 : The Test Plan is available on the AMTISO web site for a Public Test. • <input checked="" type="checkbox"/> Criteria 1.6 : The Test Plan is distributed within the time line requirements prior to the Test Commencement Date.
Test Plan Content	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criteria 2.1 : The Test Plan includes a Statement of Intention to follow the AMTISO Testing Protocol Standards. • <input checked="" type="checkbox"/> Criteria 2.2 : The Test Plan includes a Statement of Purpose indicating the criteria for the product types and threats to be used in the Test. • <input checked="" type="checkbox"/> Criteria 2.3 : The Test Plan includes the Test Commencement date(s). • <input checked="" type="checkbox"/> Criteria 2.4 : The Test Plan includes a Test Schedule with key Participant dates including periods for product configuration consultation. • <input checked="" type="checkbox"/> Criteria 2.5 : A Test Methodology describing the process used to execute the Test and Test Plan is defined. • <input checked="" type="checkbox"/> Criteria 2.6 : The Test Plan details the Test Environment and what said Environment aims to represent. • <input checked="" type="checkbox"/> Criteria 2.7 : The Test Plan includes a policy on reporting product version information. • <input checked="" type="checkbox"/> Criteria 2.8 : The Test Plan details of how the test will be scored and how (if any) certifications given will be measured. • <input checked="" type="checkbox"/> Criteria 2.9 : The Test Plan provides any dispute process details. • <input checked="" type="checkbox"/> Criteria 2.10 : The Test Plan details the Sample acquisition and selection process for use in this Test. • <input checked="" type="checkbox"/> Criteria 2.11 : The Test Plan details how Samples will be validated and classified. • <input checked="" type="checkbox"/> Criteria 2.12 : If potential Participants are given the option not to

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	<p>participate in the Test then the opt-out option is honored if requested.</p> <ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criteria 2.13 : The Test Plan provides high-level details on the sample provenance and Sample Curation strategy. • <input checked="" type="checkbox"/> Criteria 2.14 : The Test Plan provides a description as to how Curated Sample feedback is solicited and processed. • <input checked="" type="checkbox"/> Criteria 2.15 : The Test Plan defines whether Vendor participation in Sample Curation is restricted to Voluntary Participants. • <input checked="" type="checkbox"/> Criteria 2.16 : The Test Plan defines whether a Vendor can opt-out of a Public Test and if permitted explains how that process works. • <input checked="" type="checkbox"/> Criteria 2.17 : The Test Plan provides instructions for potential Participants to provide advanced configuration details.
Voluntary Participation	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criteria 3.1 : All potential Participants are given the option to become official Voluntary Participants. • <input checked="" type="checkbox"/> Criteria 3.2 : All Voluntary Participants are notified which products and services were being included in the Test. • <input checked="" type="checkbox"/> Criteria 3.3 : All Voluntary Participants are given the opportunity to review the configuration of their products in the Test Environment. • <input checked="" type="checkbox"/> Criteria 3.4 : All Voluntary Participants are given the opportunity to provide commentary on the Test. • <input checked="" type="checkbox"/> Criteria 3.5 : All Voluntary Participants complete a Voluntary Participation Attestation. • <input checked="" type="checkbox"/> Criteria 3.6 : All Voluntary Participants are asked to disclose any unlicensed third party intellectual material prior to the Product being tested.
Testing Process	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criteria 4.1 : All product logs, tested configurations, and environmental details generated during the Test are retained until all disputes are resolved. • <input checked="" type="checkbox"/> Criteria 4.2 : Participants are contacted prior to the Test's completion when their product(s) are suspected of malfunctioning. • <input checked="" type="checkbox"/> Criteria 4.3 : Participants are notified of the Test's completion with performance feedback and Test records made available for review. • <input checked="" type="checkbox"/> Criteria 4.4 : Participants are given the opportunity to review their

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product configurations at the Test's completion.

Test Report and Feedback

- Criteria 5.1 : Test results are presented in a clear, understandable format.
- Criteria 5.2 : The Test Report includes the tested product names and version information.
- Criteria 5.3 : The Test Report discloses any additional products or services related to this Test which were made available to Participants.
- Criteria 5.4 : The Test Report includes a reference to the Test Plan.
- Criteria 5.5 : The Test Report includes details of what Tests were run including the dates and times.
- Criteria 5.6 : The Test Report provides details of how the results can be used.
- Criteria 5.7 : The Test Report includes specific scores or certifications awarded.
- Criteria 5.8 : The Test Report includes a link to the AMTSO web site where commentary or additional information may be found.
- Criteria 5.9 : If any disputes extend beyond the Test Report's publication then subsequent updates are made in a clear and timely manner.
- Criteria 5.10 : Voluntary Participants are afforded the opportunity to audit their solution configuration and attach commentary to the Test covering the Test itself and the specific solution's results.
- Criteria 5.11 : Participants outside of Voluntary Participants are given the chance to attach commentary to the Test explaining why said Vendor was not participating as a Voluntary Participant.

Attestations

- Criteria 6.1 : The Tester may charge for Participation in a Test, but may not charge additional fees for Participants to be Voluntary, and confirms that this was the case for this Compliance Check.
- Criteria 6.2 : Any material conflicts of interest that could impact the Test's reliability have been disclosed to AMTSO and as part of the Test Report.
- Criteria 6.3 : All products included in your AMTSO Accredited Test are fairly and equally analyzed.

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- Criteria 6.4 : Any anticipated inequity in your test design is disclosed to all Participants.
- Criteria 6.5 : Details disclosing how the test was funded are available.