

Field	Value
Testing Organization Name	SE Labs
Test Plan Name	<b>SE Labs Test Plan for Q1'2023 Endpoint Protection: Enterprise, Small Business, and Consumer [AMTSO Test ID: AMTSO-LS-TP067]</b>
Compliance Assessor's First Name	Scott
Compliance Assessor's Last Name	Jeffreys
Compliance Assessment Date	May 17, 2023
Report Summary and Commentary	<ol style="list-style-type: none"> <li>1. Six (6) Phase 1 Commentaries from four (4) unique test subject vendors (Avast, Avira, Broadcom, Kaspersky) were filed. No concerns were raised based on the scope and methodology of this test.</li> <li>2. Seven (7) Phase 2 Commentaries were filed from five (5) unique test subject vendors. One submission was made by VIPRE with a new representative as part of the testing evaluation phase. No specific objections were raised by these reports. Phase 2 Commentary closed Wednesday, May 17th, 2023.</li> <li>3. This test is Confirmed Compliant with AMTSO Standard V1.3.</li> </ol>
Notifications	<ul style="list-style-type: none"> <li>• <input checked="" type="checkbox"/> Criteria 1.1 : Contact information is current and valid in the AMTSO managed contact list.</li> <li>• <input checked="" type="checkbox"/> Criteria 1.2 : Participants have been notified regarding this Test through Public Notification or Direct Contact.</li> <li>• <input checked="" type="checkbox"/> Criteria 1.3 : The Test Plan is included with the notification sent to Participants.</li> <li>• <input checked="" type="checkbox"/> Criteria 1.4 : The Test Plan is available to all Participants upon request.</li> <li>• <input checked="" type="checkbox"/> Criteria 1.5 : The Test Plan is available on the AMTSO web site for a Public Test.</li> <li>• <input checked="" type="checkbox"/> Criteria 1.6 : The Test Plan is distributed within the time line requirements prior to the Test Commencement Date.</li> </ul>

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Test Plan Content	<ul style="list-style-type: none"> <li>• <input checked="" type="checkbox"/> Criteria 2.1 : The Test Plan includes a Statement of Intention to follow the AMTSO Testing Protocol Standards.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.2 : The Test Plan includes a Statement of Purpose indicating the criteria for the product types and threats to be used in the Test.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.3 : The Test Plan includes the Test Commencement date(s).</li> <li>• <input checked="" type="checkbox"/> Criteria 2.4 : The Test Plan includes a Test Schedule with key Participant dates including periods for product configuration consultation.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.5 : A Test Methodology describing the process used to execute the Test and Test Plan is defined.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.6 : The Test Plan details the Test Environment and what said Environment aims to represent.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.7 : The Test Plan includes a policy on reporting product version information.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.8 : The Test Plan details of how the test will be scored and how (if any) certifications given will be measured.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.9 : The Test Plan provides any dispute process details.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.10 : The Test Plan details the Sample acquisition and selection process for use in this Test.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.11 : The Test Plan details how Samples will be validated and classified.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.12 : If potential Participants are given the option not to participate in the Test then the opt-out option is honored if requested.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.13 : The Test Plan provides high-level details on the sample provenance and Sample Curation strategy.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.14 : The Test Plan provides a description as to how Curated Sample feedback is solicited and processed.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.15 : The Test Plan defines whether Vendor participation in Sample Curation is restricted to Voluntary Participants.</li> <li>• <input checked="" type="checkbox"/> Criteria 2.16 : The Test Plan defines whether a Vendor can opt-out of a Public Test and if permitted explains how that process works.</li> </ul>

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Voluntary Participation	<ul style="list-style-type: none"> <li>• <input checked="" type="checkbox"/> Criteria 2.17 : The Test Plan provides instructions for potential Participants to provide advanced configuration details.</li> <li>• <input checked="" type="checkbox"/> Criteria 3.1 : All potential Participants are given the option to become official Voluntary Participants.</li> <li>• <input checked="" type="checkbox"/> Criteria 3.2 : All Voluntary Participants are notified which products and services were being included in the Test.</li> <li>• <input checked="" type="checkbox"/> Criteria 3.3 : All Voluntary Participants are given the opportunity to review the configuration of their products in the Test Environment.</li> <li>• <input checked="" type="checkbox"/> Criteria 3.4 : All Voluntary Participants are given the opportunity to provide commentary on the Test.</li> <li>• <input checked="" type="checkbox"/> Criteria 3.5 : All Voluntary Participants complete a Voluntary Participation Attestation.</li> <li>• <input checked="" type="checkbox"/> Criteria 3.6 : All Voluntary Participants are asked to disclose any unlicensed third party intellectual material prior to the Product being tested.</li> </ul>
Testing Process	<ul style="list-style-type: none"> <li>• <input checked="" type="checkbox"/> Criteria 4.1 : All product logs, tested configurations, and environmental details generated during the Test are retained until all disputes are resolved.</li> <li>• <input checked="" type="checkbox"/> Criteria 4.2 : Participants are contacted prior to the Test's completion when their product(s) are suspected of malfunctioning.</li> <li>• <input checked="" type="checkbox"/> Criteria 4.3 : Participants are notified of the Test's completion with performance feedback and Test records made available for review.</li> <li>• <input checked="" type="checkbox"/> Criteria 4.4 : Participants are given the opportunity to review their product configurations at the Test's completion.</li> </ul>
Test Report and Feedback	<ul style="list-style-type: none"> <li>• <input checked="" type="checkbox"/> Criteria 5.1 : Test results are presented in a clear, understandable format.</li> <li>• <input checked="" type="checkbox"/> Criteria 5.2 : The Test Report includes the tested product names and version information.</li> <li>• <input checked="" type="checkbox"/> Criteria 5.3 : The Test Report discloses any additional products or services related to this Test which were made available to</li> </ul>

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Participants.

- Criteria 5.4 : The Test Report includes a reference to the Test Plan.
- Criteria 5.5 : The Test Report includes details of what Tests were run including the dates and times.
- Criteria 5.6 : The Test Report provides details of how the results can be used.
- Criteria 5.7 : The Test Report includes specific scores or certifications awarded.
- Criteria 5.8 : The Test Report includes a link to the AMTSO web site where commentary or additional information may be found.
- Criteria 5.9 : If any disputes extend beyond the Test Report's publication then subsequent updates are made in a clear and timely manner.
- Criteria 5.10 : Voluntary Participants are afforded the opportunity to audit their solution configuration and attach commentary to the Test covering the Test itself and the specific solution's results.
- Criteria 5.11 : Participants outside of Voluntary Participants are given the chance to attach commentary to the Test explaining why said Vendor was not participating as a Voluntary Participant.

Attestations

- Criteria 6.1 : The Tester may charge for Participation in a Test, but may not charge additional fees for Participants to be Voluntary, and confirms that this was the case for this Compliance Check.
- Criteria 6.2 : Any material conflicts of interest that could impact the Test's reliability have been disclosed to AMTSO and as part of the Test Report.
- Criteria 6.3 : All products included in your AMTSO Accredited Test are fairly and equally analyzed.
- Criteria 6.4 : Any anticipated inequity in your test design is disclosed to all Participants.
- Criteria 6.5 : Details disclosing how the test was funded are available.