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Testing Organization Name	AV-TEST Institute GmbH
Test Plan Name	AV-TEST Windows Business 2024 Test Series – Incremental Compliance Report for January and February 2024 Tests [AMTSO-LS1-TP106]
Compliance Assessors	Scott Jeffreys
Compliance Assessment Date	April 11th, 2024
Report Summary and Commentary	<ol style="list-style-type: none"> 1. Sixteen (16) test subject vendors were listed as part of the Test Report and thirteen (13) were invited to supply Phase 2 Commentary covering the January 2024 and February 2024 testing cycle. Formal commentary and additional e-mails were received from two (2) test subject vendors (Avast, Kaspersky). 2. Discussion of Criterion 5.8: Links from the AV-TEST Test Report or a representative website back to AMTSO’s Compliance Summary web page containing information about this test were clearly available. (Web site : https://www.av-test.org/en/antivirus/business-windows-client/) 3. Discussion of Criterion 3.1 and Participant Status in General: AMTSO was unable to provide direct issue of requests for Phase 1 Commentary to reinforce options to adopt Participant status. No protests were raised by any Test Subject Vendors regarding this issue. 4. Discussion of Criterion 5.3, Criterion 5.9, and Dispute access in general: While the policy surrounding access to disputes requires adjustment in a renotification to Test Subject Vendors, no violations came to light from any Test Subject Vendors. This documentation weakness can be corrected with a reissuance of the Test Plan which is underway. 5. This incremental Test Series check is confirmed compliant with AMTSO Standard V1.3.
Notifications	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 1.1: Contact information is current and valid in the AMTSO managed contact list. • <input checked="" type="checkbox"/> Criterion 1.2: Participants have been notified regarding this Test through Public Notification or Direct Contact. • <input checked="" type="checkbox"/> Criterion 1.3: The Test Plan is included with the notification sent to Participants.

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	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 1.4: The Test Plan is available to all potential Test Subject Vendors upon request. • <input checked="" type="checkbox"/> Criterion 1.5: The Test Plan is available on the AMTSO web site for a Public Test. • <input checked="" type="checkbox"/> Criterion 1.6: The Test Plan is distributed within the time line requirements prior to the Test Commencement Date.
Test Plan Content	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 2.1: The Test Plan includes a Statement of Intention to follow the AMTSO Testing Protocol Standards. • <input checked="" type="checkbox"/> Criterion 2.2: The Test Plan includes a Statement of Purpose indicating the criteria for the product types and threats to be used in the Test. • <input checked="" type="checkbox"/> Criterion 2.3: The Test Plan includes the Test Commencement date(s). • <input checked="" type="checkbox"/> Criterion 2.4: The Test Plan includes a Test Schedule with key Participant dates including periods for product configuration consultation. • <input checked="" type="checkbox"/> Criterion 2.5: A Test Methodology describing the process used to execute the Test and Test Plan is defined. • <input checked="" type="checkbox"/> Criterion 2.6: The Test Plan details the Test Environment and what said Environment aims to represent. • <input checked="" type="checkbox"/> Criterion 2.7: The Test Plan includes a policy on reporting product version information. • <input checked="" type="checkbox"/> Criterion 2.8: The Test Plan details how the test will be scored and how (if any) certifications given will be measured. • <input checked="" type="checkbox"/> Criterion 2.9: The Test Plan provides any dispute process details. • <input checked="" type="checkbox"/> Criterion 2.10: The Test Plan details the Sample acquisition and selection process for use in this Test. • <input checked="" type="checkbox"/> Criterion 2.11: The Test Plan details how Samples will be validated and classified. • <input checked="" type="checkbox"/> Criterion 2.12: If potential Test Subject Vendors are given the option not to participate in the Test, then the opt-out option is honored if requested. • <input checked="" type="checkbox"/> Criterion 2.13: The Test Plan provides high-level details on the sample provenance and Sample Curation strategy. • <input checked="" type="checkbox"/> Criterion 2.14: The Test Plan provides a description as to how Curated

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	<p>Sample feedback is solicited and processed.</p> <ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 2.15: The Test Plan defines whether Test Subject Vendor participation in Sample Curation is restricted to Participants. • <input checked="" type="checkbox"/> Criterion 2.16: The Test Plan defines whether a Vendor can opt-out of a Public Test and if permitted explains how that process works. • <input checked="" type="checkbox"/> Criterion 2.17: The Test Plan provides instructions for potential Participants to provide advanced configuration details.
Voluntary Participation	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 3.1: All Test Subject Vendors are given the option to become official Participants. • <input checked="" type="checkbox"/> Criterion 3.2: All Participants are notified which products and services were being included in the Test. • <input checked="" type="checkbox"/> Criterion 3.3: All Participants are given the opportunity to review the configuration of their products in the Test Environment on request. • <input checked="" type="checkbox"/> Criterion 3.4: All Participants are given the opportunity to provide commentary on the Test. • <input checked="" type="checkbox"/> Criterion 3.5: All Participants are given the opportunity to complete a Participation Attestation. • <input checked="" type="checkbox"/> Criterion 3.6: All Participants are asked to disclose any unlicensed third-party intellectual material prior to the Product being tested.
Testing Process	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 4.1: All product logs, tested configurations, and environmental details generated during the Test are retained until all disputes are resolved. • <input checked="" type="checkbox"/> Criterion 4.2: Participants are contacted prior to the Test's completion when their product(s) are suspected of malfunctioning. • <input checked="" type="checkbox"/> Criterion 4.3: Participants are notified of the Test's completion with performance feedback and Test records made available for review. • <input checked="" type="checkbox"/> Criterion 4.4: Participants are given the opportunity to review their product configurations at the Test's completion on request.
Test Report and Feedback	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 5.1: Test results are presented in a clear, understandable format. • <input checked="" type="checkbox"/> Criterion 5.2: The Test Report includes the tested product names and

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	<p>version information.</p> <ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 5.3: The Test Report discloses any additional products or services related to this Test which were made available to Participants. • <input checked="" type="checkbox"/> Criterion 5.4: The Test Report includes a reference to the Test Plan. • <input checked="" type="checkbox"/> Criterion 5.5: The Test Report includes details of what Tests were run including the dates and times. • <input checked="" type="checkbox"/> Criterion 5.6: The Test Report provides details of how the results can be used. • <input checked="" type="checkbox"/> Criterion 5.7: The Test Report includes specific scores or certifications awarded. • <input checked="" type="checkbox"/> Criterion 5.8: The Test Report includes a link to the AMTSO web site where commentary or additional information may be found. • <input checked="" type="checkbox"/> Criterion 5.9: If any disputes extend beyond the Test Report's publication, then subsequent updates are made in a clear and timely manner. • <input checked="" type="checkbox"/> Criterion 5.10: Participants are afforded the opportunity to audit their solution configuration and attach commentary to the Test covering the Test itself and the specific solution's results. • <input checked="" type="checkbox"/> Criterion 5.11: Test Subject Vendors other than Participants are given the chance to attach commentary to the Test explaining why said Test Subject Vendor did not adopt Participant status.
Attestations	<ul style="list-style-type: none"> • <input checked="" type="checkbox"/> Criterion 6.1: The Tester may charge for involvement in a Test, but may not charge Test Subject Vendors additional fees to adopt Participant status. • <input checked="" type="checkbox"/> Criterion 6.2: Any material conflicts of interest that could impact the Test's reliability have been disclosed to AMTSO and as part of the Test Report. • <input checked="" type="checkbox"/> Criterion 6.3: All products included in your AMTSO Accredited Test are fairly and equally analyzed. • <input checked="" type="checkbox"/> Criterion 6.4: Any anticipated inequity in your test design is disclosed to all Participants. • <input checked="" type="checkbox"/> Criterion 6.5: Details disclosing how the test was funded are available.